

#5

May 10, 2002

FRANK C. NICHOLAS, (33,983)

Name of Applicant, Assignee or
Registered Representative
Josh C. Hultin
Signature

May 10, 2002

PATENT

A circular stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "JCT19" is at the top right, "OFFICE" is on the right, "PATENT & TRADEMARK" is on the bottom right, and "JULY 19" is at the bottom. In the center, the date "MAY 13 2002" is stamped. Below the stamp, the text "INTELLIGENCE" is partially visible.

Application of:

Examiner

Group Art Unit No. 2184

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

The filing date was indicated incorrectly on the filing receipt. An inventor was also not included on the filing receipt. The filing receipt should be corrected as follows:

Please correct the filing date to December 18, 2000.

Please add Larry S. Leitner, Cedar Park, TX to the list of inventors.


A copy of a corrected filing receipt showing the correct filing date and inventor is enclosed. A copy of the declaration, express mail receipt and returned postcard showing the filing date December 18, 2000 are also enclosed.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: May 10, 2002

Respectfully Submitted,



FRANK C. NICHOLS, AG (22-286)

FRANK C. NICHOLAS, (33,983)
Attorney for Applicants

CARDINAL LAW GROUP
1603 ORRINGTON AVENUE, SUITE 2000
EVANSTON, ILLINOIS 60201
(847) 905-7111



UNITED STATES PATENT AND TRADEMARK OFFICE



DOCKETED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/740,530	05/10/2001 12/18/2000	2184	1684	AUS920000710US1 (9000/4)	4	43	10

CONFIRMATION NO. 8384

Frank C. Nicholas
CARDINAL LAW GROUP
Suite 2000
1603 Orrington Avenue
Evanston, IL 60201

RECEIVED

MAY 23 2001

CARDINAL LAW GROUP

FILING RECEIPT



OC000000006091901

Date Mailed: 05/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael S. Floyd, Leander, TX;
Paul J. Jordan, Austin, TX;
Larry S. Leitner, Cedar Park, TX

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/03/2001

Projected Publication Date: 11/14/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for triggering a debugging unit

Preliminary Class

714

Data entry by : TABA, LORNA

Team : OIPE

Date: 05/18/2001

"

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

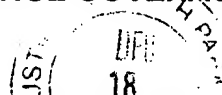
This Page Blank (uspto)

**POST OFFICE
TO ADDRESSEE**



ORIGIN (POSTAL USE ONLY)		
PO ZIP Code <u>78702</u>	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In <u>Mo. Day Year</u>	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 34.45
Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight <u>11</u> lbs. <u>025</u> ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <u>12</u>	Total Postage & Fees \$ 34.45

**SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND
INSURANCE COVERAGE LIMITS**



CUSTOMER USE ONLY	
METHOD OF PAYMENT Express Mail Corporate Acct. No.	<input type="checkbox"/> MONEY ORDER
Federal Agency Acct. No. or Postal Service Acct. No.	<input type="checkbox"/> NO DELIVERY
FROM: (PLEASE PRINT) PHONE <u>512-893-1010</u> IBM Corporation Intellectual Property Law 11400 Burnet Rd. 4054 Austin TX 78758	TO: (PLEASE PRINT) PHONE _____ Box Patent Applications ASSISTANT Commissioner OF PATENTS WASHINGTON, DC 20231- 0001

Original 0720

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com **EMS**

Customer Copy
Label 11-B May 2000

✓

Docket No. AUS92A00710051 Serial No. _____ Any CFE Date Mailed 12-18-00

PLEASE ACKNOWLEDGE AND RETURN

☒ Certificate of Express Mail
☒ Express Mail No. EP 40965244705
☒ Application w/ 2 Declaration ☒ Transmittal Letter
☒ Spec 13 pp; Claims 18 pp ☒ Certificate of Mailing
☒ Recordation Cover Sheet ☒ Amendment
☒ Assignment ☒ Amendment AF
☒ Drawings 4 sheets ☒ Notice of Appeal
☒ Missing Parts ☒ Appeal Brief
☒ IDS ☒ Reply Brief
☒ PTO 1449 references ☒ FWC/Continuation/Divisional
☒ Ext. of Time ☒ Issue Fee
☒ Other

Applicant: M3 Floyd et al

Title: Method + Systems for Triggering a Blabbering Unit

Deposit Acct. No. 09-0051/09-0447

Fees: App. 1684 Assign 40 Amendment _____ Other _____

PTO
09/740530
12/16/00

#5 Receipt
2FC1



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No.	AUS9-2000-0710US1
Application Number	09/740,530
Filing Date	December 18, 2000
First Named Inventor	MICHAEL S. FLOYD
Group Art Unit	2184
Examiner	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement, PTO-1449, art <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings: <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0447 (IBM Corporation). A duplicate copy of this sheet is enclosed.	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Post Card Receipt <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <input checked="" type="checkbox"/> Copy of corrected filing receipt <input checked="" type="checkbox"/> Copy of Declaration <input checked="" type="checkbox"/> Copy of Express Mail Receipt <input checked="" type="checkbox"/> Copy of Returned Postcard
--	--	--

CALCULATION OF FEE

					Small Entity		Large Entity		
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus		0	x \$9=	0		x \$18=	
Indep.		Minus		0	x \$42=	0		x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=	---		+\$280=	
					total add'l fee	\$ 0		total add'l fee	\$ 0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 CARDINAL LAW GROUP 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date	May 10, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 10, 2002	
Signature	 FRANK C. NICHOLAS (33,983)
Date:	May 10, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8384

SERIAL NUMBER 09/740,530	FILING DATE 05/10/2001 RULE	CLASS 714	GROUP ART UNIT 2184	ATTORNEY DOCKET NO. AUS920000710US1 (9000/4)
APPLICANTS Michael S. Floyd, Leander, TX; Paul J. Jordan, Austin, TX;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/03/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance		STATE OR COUNTRY TX	SHEETS DRAWING 4	TOTAL CLAIMS 43
Verified and Acknowledged Examiner's Signature _____ Initials _____				INDEPENDENT CLAIMS 10
ADDRESS Frank C. Nicholas CARDINAL LAW GROUP Suite 2000 1603 Orrington Avenue Evanston ,IL 60201				
TITLE Method and system for triggering a debugging unit				
FILING FEE RECEIVED 1684	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	